

# The Pigeonhole Principle

Section 6.2

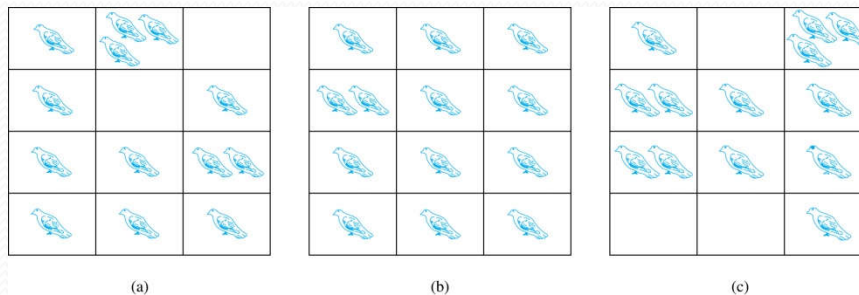


# Section Summary

- The Pigeonhole Principle
- The Generalized Pigeonhole Principle

# The Pigeonhole Principle

- If a flock of 20 pigeons roosts in a set of 19 pigeonholes, one of the pigeonholes must have more than 1 pigeon.



**Pigeonhole Principle:** If  $k$  is a positive integer and  $k + 1$  objects are placed into  $k$  boxes, then at least one box contains two or more objects.

**Proof:** We use a proof by contraposition. Suppose none of the  $k$  boxes has more than one object. Then the total number of objects would be at most  $k$ . This contradicts the statement that we have  $k + 1$  objects. ◀



# The Pigeonhole Principle

**Corollary 1:** A function  $f$  from a set with  $k + 1$  elements to a set with  $k$  elements is not one-to-one.

**Proof:** Use the pigeonhole principle.

- Create a box for each element  $y$  in the codomain of  $f$ .
- Put in the box for  $y$  all of the elements  $x$  from the domain such that  $f(x) = y$ .
- Because there are  $k + 1$  elements and only  $k$  boxes, at least one box has two or more elements.

Hence,  $f$  can't be one-to-one.





# Pigeonhole Principle

**Example:** Among any group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.



# The Generalized Pigeonhole Principle

**The Generalized Pigeonhole Principle:** If  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $\lceil N/k \rceil$  objects.

**Example:** Among 100 people there are at least  $\lceil 100/12 \rceil = 9$  who were born in the same month.



# The Generalized Pigeonhole Principle

**Example:** a) How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

b) How many must be selected to guarantee that at least three hearts are selected?

**Solution:** a) We assume four boxes; one for each suit. Using the generalized pigeonhole principle, at least one box contains at least  $\lceil N/4 \rceil$  cards. At least three cards of one suit are selected if  $\lceil N/4 \rceil \geq 3$ . The smallest integer  $N$  such that  $\lceil N/4 \rceil \geq 3$  is  $N = 2 \cdot 4 + 1 = 9$ .

b) A deck contains 13 hearts and 39 cards which are not hearts. So, if we select 41 cards, we may have 39 cards which are not hearts along with 2 hearts. However, when we select 42 cards, we must have at least three hearts. (Note that the generalized pigeonhole principle is not used here.)